Please type a plus sign (+) inside this box

TRANSMITTAL

**FORM** 



Sector 13

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/923,578

Xinhui NIU

August 6, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Applicati n Number

**First Named Inventor** 

Filing Date

ł								
,		Group Art Unit	2825					
(to be used for all correspondence after initial filing)		ial filing)	Examiner Name	To Be Assigne	ed			
Total Number Of Pages In This Submission		11	Attorney Docket No.	509982001400				
ENCLOSURES (check all that apply)								
Fee Transmittal For copy for fee proces			ignment Papers an Application)		After Allowance Communication to Group			
Fee Attache	Fee Attached		wing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Peti	tition		Proprietary Information			
Affidavits/de	Affidavits/declarations		ition to Convert to a visional Application		Status Letter			
Extension of Time Request		<b>★</b> Cha	ver of Attorney, Revocation inge of Correspondence Add ages		Other Enclosure(s) (please identify below):			
Express Abandonment Request		Terminal Disclaimer  Request for Refund			Declaration For Utility Patent Application -     pages     Statement Under 37 CFR 3.73(b) with copy of Assignment - 2 pages     Return Postcard			
Information Disclosure Statement		CD,	Number of CD(s)					
Certified Copy of Priority Document(s)		Remarks						
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 - 1 page								
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT								
Firm	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018							
or	Alan S. Hodes (Registration No. 38,185)							
Individual Name	ruali S. Houes (nagis	1311011 140. 36,	100)					
Signature		$\mathcal{V}_{I}$						
Date November 6, 2001								
CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"								

Burden Hours Statement: This form is estimated to take 0,2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Julie M. Hassell

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed

to: Assistant Commissioner for Patents, Washington, D.C, 20231, on November 6, 2001:

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

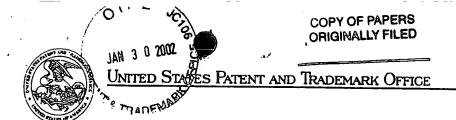
U.S. Pate and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Complet if Known			
Z176 \	ANSMITTAL	<b>-</b>	Application Number	09/923,578	
13/OR FY 2002			Filing Date	August 6, 2001	
JAN 3 0 2002 H COPY OF PAPE ORIGINALLY FILE		RS FO	First Named Inventor	Xinhul NIU	
<b>Z</b>	ORIGINALLY		Examiner Name	To Be Assigned	
? 77 4DESAM atent fees are subject to annual revision.			Group Art Unit	2825	-
TOTAL AMOUNT OF		(\$)130.00	Attorney Docket No.	509982001400	

TOTAL AMOUNT OF PAYMENT	(\$)130.0	(\$)130.00 Attorney Docket No.		509982001400			
METHOD OF PAYMENT	FEE CALCULATION (continued)						
1. E The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES						
fees and credit any overpayments to:  Deposit Account Number  03-1952	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Descr	ription	Fee Paid
Account Name Morrison & Foerster LLP	105	130	205	65	Surcharge -	late filing fee or oath	130.00
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - fee or cover	,	
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English		
2. Payment Enclosed:	147	2,520	147	2,520	For filing a reexamination		
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920*	112	920°	Requesting to Examiner	· .	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting Examiner ac	er .	
1. BASIC FILING FEE	115	110	215	55	Extension fo	r reply within first mon	th
A 5-10. 5-10.	116	400	216	200	Extension fo month	r reply within second	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee	117	920	217	460	Extension fo month		
Pald	118	1,440	218	720	Extension fo month		
101 740 201 370 Utility filing fee	128	1,960	228	980		r reply within fifth mon	th
106 330 206 165 Design filing fee	119	320	219	160	Notice of Ap		
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	120	320	220	160	-	in support of an appe	al
108 740 208 370 Reissue filing fee	121	280	221	140	Request for	•	
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	proceeding	stitute a public use	
SUBTOTAL (1) (\$)0.00	140 141	110 1,280	240 241	55 640		vive - unavoidable vive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	540	Utility issue f	ee (or reissue)	
Extra Fee from Fee Paid Claims below	143	460	243	230	Design issue	fee	
Total Claims 70 • 70 = 0 x 18.00 = \$0.00	144	620	244	310	Plant issue for	ee	
Independent 22 - 22 = 0 x 84.00 = \$0.00	122	130	122	130	Petitions of the Commissioner		
Multiple Dependent 280.00 = \$0.00	123	50	123	50	applications	ited to provisional	
	126	180	126	180	Disclosure S		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per properties (times number of properties)		
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
102 84 202 42 independent claims in excess of 3	149	740	249	370	For each add	fitional invention to be 7 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claims, if not paid	179	740	279	370		Continued Examination	,
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application		
110 18 210 9 **Reissue claims in excess of 20 and over original patent							
AUGUSTAN (A) (A)	Other fee	(specify)					
SUBTOTAL (2) (\$)0.00  ** or number previously paid, if greater; For reissues, see above.	*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)130.00			
SUBMITTED BY Complete (if applicable)							
Name (Print/Type) Alan S. Hodes		tration No ney/Agen		38,185			13-5622
Signature	1 //	yyeri	·/		Dat	e Novem	ber 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



COMMISSIONER FOR PATENTS United States Patent and Trademark Office

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/923,578

Morrison & Foerster LLP

San Francisco, CA 94105-2482

425 Market Street

08/06/2001

Xinhui Niu

509982001400

**CONFIRMATION NO. 2702** 

FORMALITIES LETTER

\*OC000000006547678\*

Date Mailed: 09/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE